

# Shape Analysis via 3-Valued Logic

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<http://www.cs.tau.ac.il/~msagiv/toplas02.pdf>

[www.cs.tau.ac.il/~tvla](http://www.cs.tau.ac.il/~tvla)

# Concrete Interpretation Rules

Statement	Update formula
$x = \text{NULL}$	$x'(v) = 0$
$x = \text{malloc}()$	$x'(v) = \text{IsNew}(v)$
$x = y$	$x'(v) = y(v)$
$x = y \rightarrow \text{next}$	$x'(v) = \exists w: y(w) \wedge n(w, v)$
$x \rightarrow \text{next} = y$	$n'(v, w) =$ $(\neg x(v) \wedge n(v, w)) \vee$ $(x(v) \wedge y(w))$

# Plan

- ✓ Concrete interpretation using logic
- Canonical abstraction
- Abstract interpretation using canonical abstraction (next lesson)

# 3-Valued Logical Structures

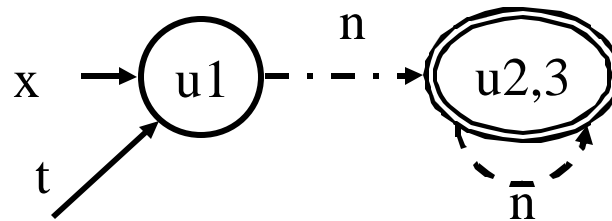
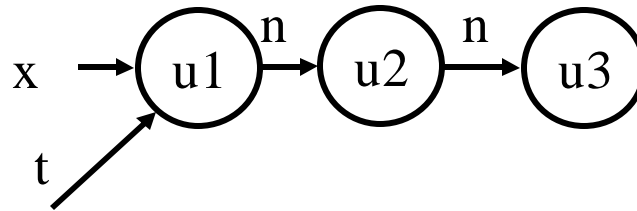
- A set of individuals (nodes)  $U$
- Predicate meaning
  - $p^S: (U^S)^k \rightarrow \{0, 1, 1/2\}$

# Canonical Abstraction

- Partition the individuals into equivalence classes based on the values of their unary predicates
  - Every individual is mapped into its equivalence class
- Collapse predicates via  $\sqcup$ 
  - $p^S(u'_1, \dots, u'_k) = \sqcup \{p^B(u_1, \dots, u_k) \mid f(u_1)=u'_1, \dots, f(u_k)=u'_k\}$
- At most  $2^A$  abstract individuals

# Canonical Abstraction

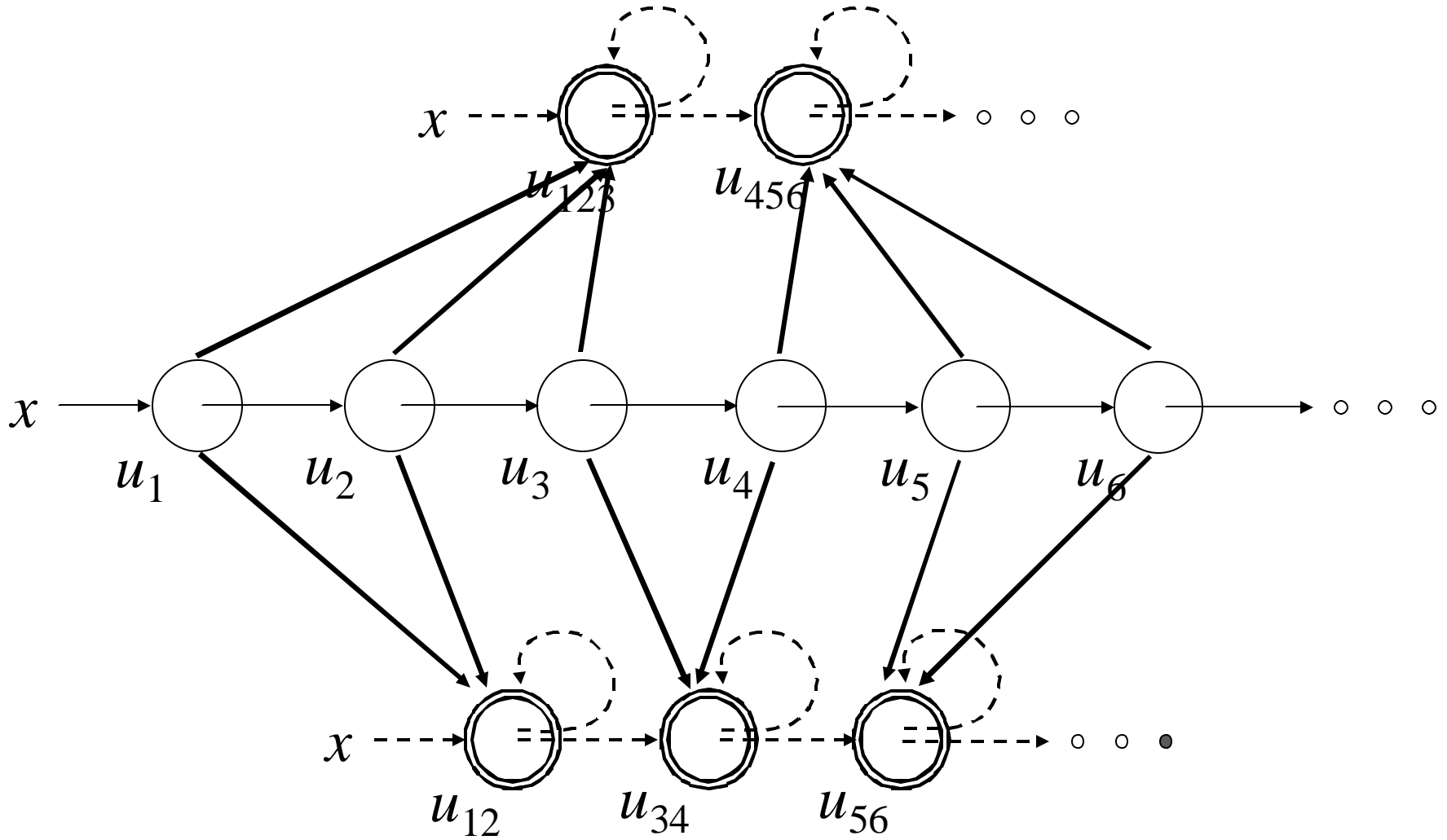
```
x = NULL;  
while (...) do {  
    t = malloc();  
    t → next = x;  
    x = t  
}
```



# Summary

- Canonical abstraction guarantees finite number of structures
- The concrete location of an object plays no significance
- But what is the significance of 3-valued logic?

# Embedding





# Embedding

- $B \sqsubseteq^f S$ 
  - onto function  $f$
  - $p^B(u_1, \dots, u_k) \sqsubseteq p^S(f(u_1), \dots, f(u_k))$
- $S$  is a tight embedding of  $B$  with respect to  $f$  if:
  - $p^S(u^{\#}_1, \dots, u^{\#}_k) = \sqcup \{p^B(u_1, \dots, u_k) \mid f(u_1)=u^{\#}_1, \dots, f(u_k)=u^{\#}_k\}$
- Canonical Abstraction is a tight embedding

# Embedding (cont)

- $S_1 \sqsubseteq_f S_2 \iff$  every concrete state represented by  $S_1$  is also represented by  $S_2$
- The set of nodes in  $S_1$  and  $S_2$  may be different
  - No meaning for node names (abstract locations)
- $\gamma(S^\#) = \{S : 2\text{-valued structure } S, S \sqsubseteq_f S^\#\}$

# Embedding Theorem

- Assume  $B \sqsubseteq^f S$ ,

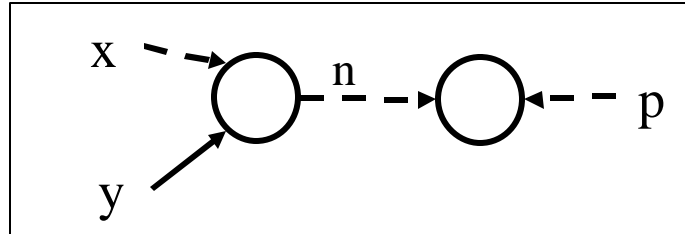
$$p^B(u_1, \dots, u_k) \sqsubseteq p^S(f(u_1), \dots, f(u_k))$$

- Then every formula  $\varphi$  is preserved:
  - If  $\llbracket \varphi \rrbracket = 1$  in  $S$ , then  $\llbracket \varphi \rrbracket = 1$  in  $B$
  - If  $\llbracket \varphi \rrbracket = 0$  in  $S$ , then  $\llbracket \varphi \rrbracket = 0$  in  $B$
  - If  $\llbracket \varphi \rrbracket = 1/2$  in  $S$ , then  $\llbracket \varphi \rrbracket$  could be 0 or 1 in  $B$

# Embedding Theorem

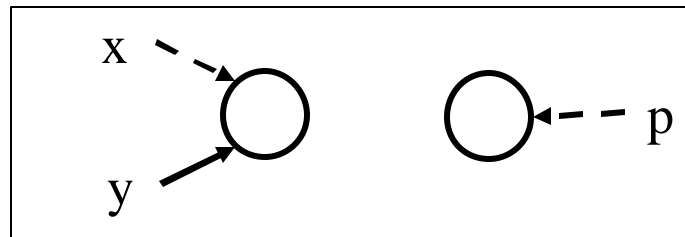
- For every formula  $\varphi$  is preserved:
  - If  $\llbracket \varphi \rrbracket = 1$  in  $S$ , then  $\llbracket \varphi \rrbracket = 1$  for all  $B\hat{I} \mathbf{g}(S)$
  - If  $\llbracket \varphi \rrbracket = 0$  in  $S$ , then  $\llbracket \varphi \rrbracket = 0$  for all  $B\hat{I} \mathbf{g}(S)$
  - If  $\llbracket \varphi \rrbracket = 1/2$  in  $S$ , then  $\llbracket \varphi \rrbracket$  could be 0 or 1 in  $\mathbf{g}(S)$

# Challenge 2 - Destructive Update



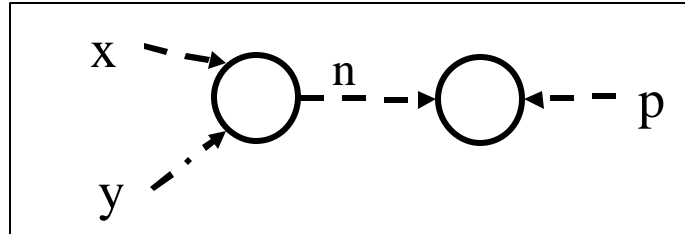
$y \rightarrow \text{next} = \text{NULL}$

$$n'(v, w) = \neg y(v) \wedge n(v, w)$$



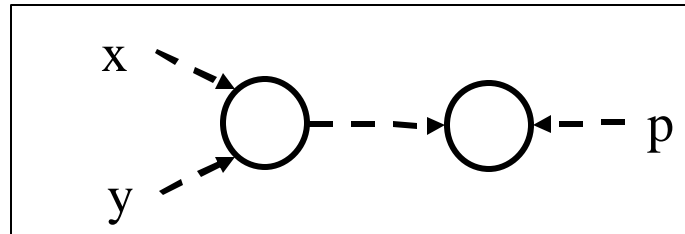
Sound ☺

# Challenge 2 - Destructive Update



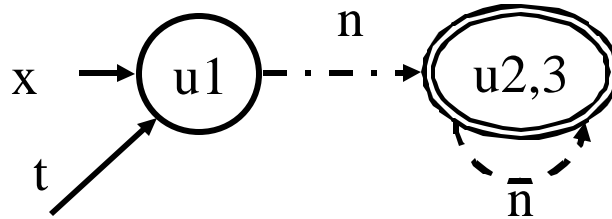
$y \rightarrow \text{next} = \text{NULL}$

$$n'(v, w) = \neg y(v) \wedge n(v, w)$$



Sound ☺

# Embedding Theorem



$$\exists v: x(v)$$

1=Yes

$$\exists v: x(v) \wedge t(v)$$

1=Yes

$$\exists v: x(v) \wedge y(v)$$

0=No

$$\exists v, w: x(v) \wedge n(v, w)$$

1/2=Maybe

$$\exists v, w: x(v) \wedge n(v, w) \wedge n^+(w, v)$$

0=No

$$\exists v, w: x(v) \wedge n^*(v, w) \wedge n^+(w, w)$$

1/2=Maybe

# Summary

- The embedding theorem eliminates the need for proving near commutativity
- Guarantees soundness
- Applied to arbitrary logics
- But can be imprecise



# Limitations

- Information on summary nodes is lost
- Leads to useless verification

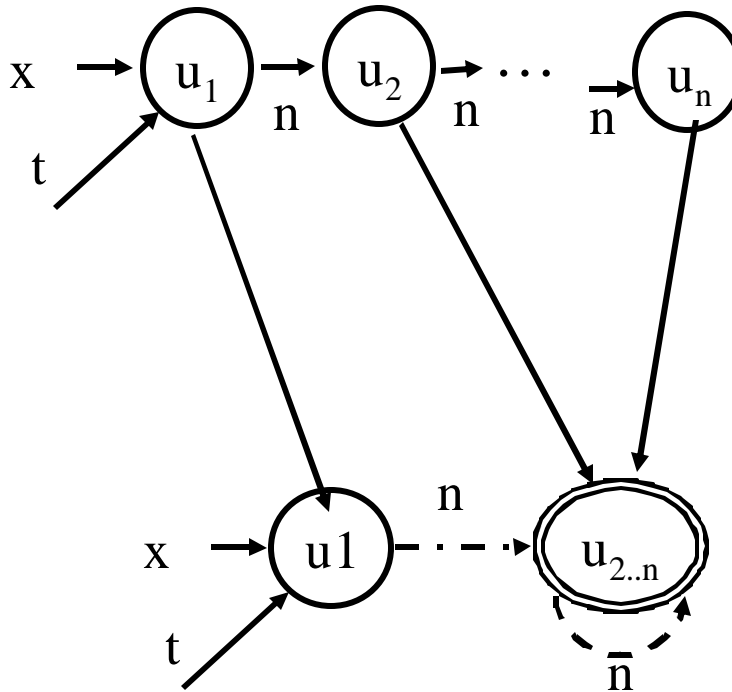
# Increasing Precision

- User (Programming Language) supplied global invariants
  - Naturally expressed in  $\text{FO}^{\text{TC}}$
- Record extra information in the concrete interpretation
  - Tune the abstraction
  - Refine concretization

# Cyclicity predicate

$$c[x]() = \exists v_1, v_2: x(v_1) \wedge n^*(v_1, v_2) \wedge n^+(v_2, v_2)$$

$$c[x]()=0$$

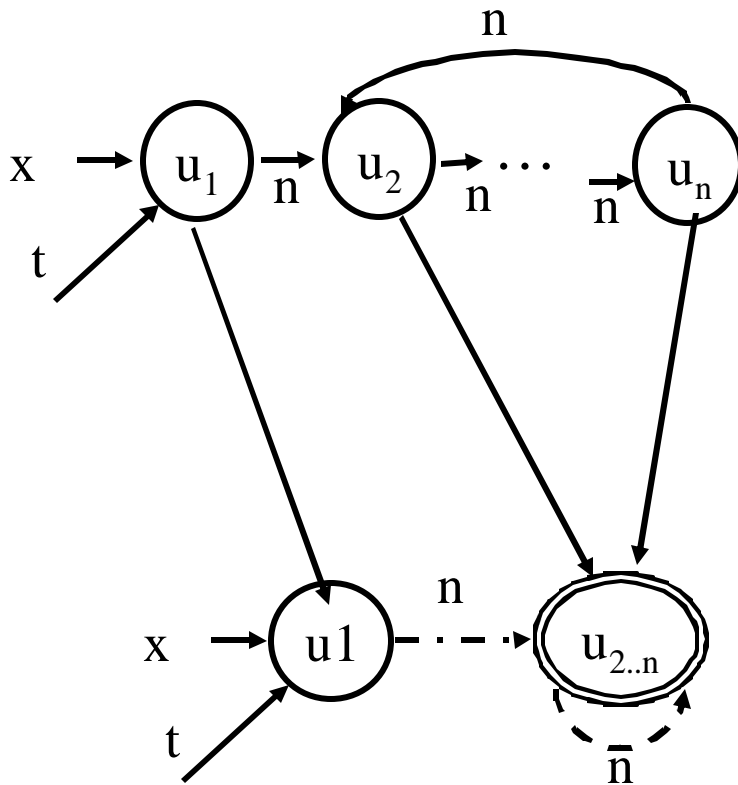


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# Cyclicity predicate

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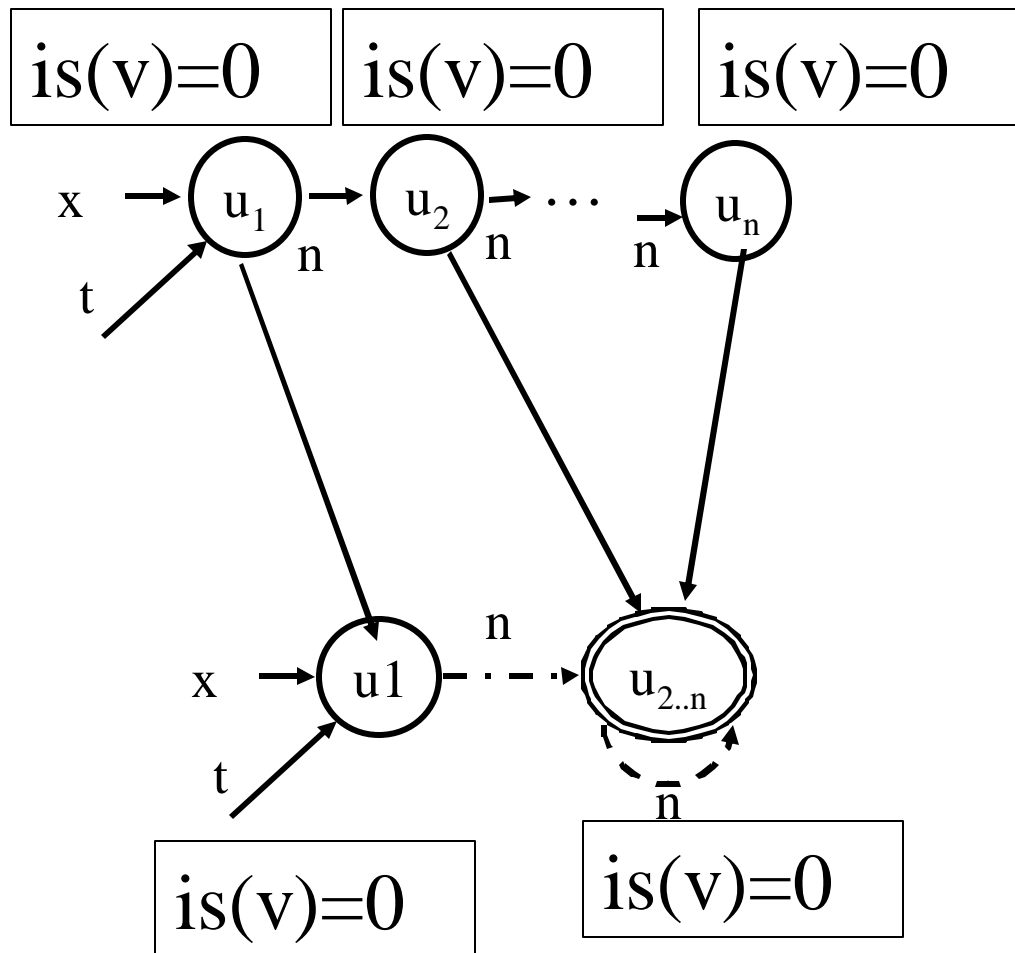
$$c[x]()=1$$



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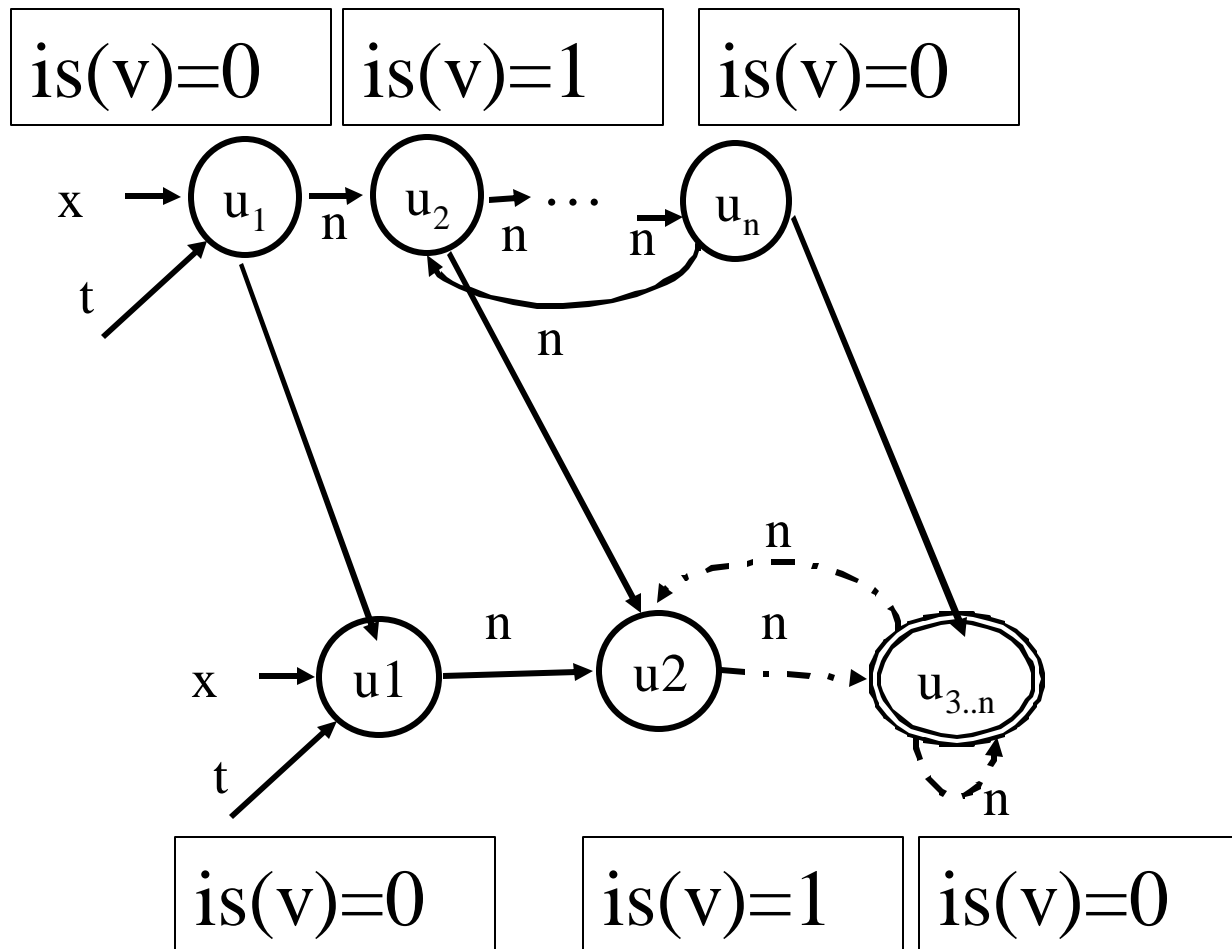
# Heap Sharing predicate

$$is(v) = \exists v_1, v_2: n(v_1, v) \wedge n(v_2, v) \wedge v_1 \neq v_2$$



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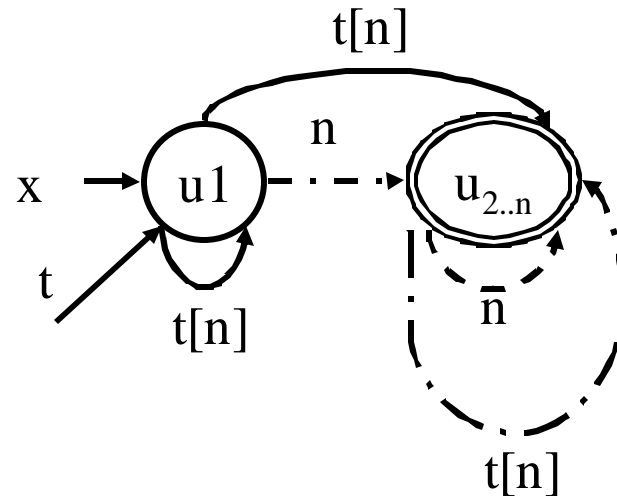
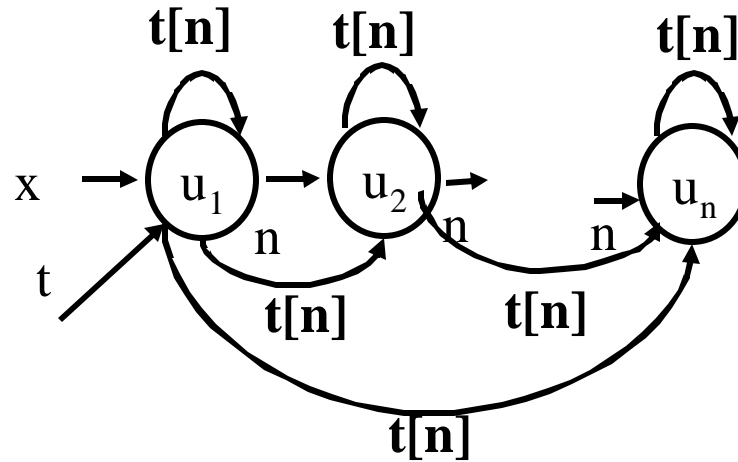


# Concrete Interpretation Rules

Statement	Update formula
$x = \text{NULL}$	$x'(v) = 0$
$x = \text{malloc}()$	$x'(v) = \text{IsNew}(v)$ $is'(v) = is(v) \wedge \neg \text{IsNew}(v)$
$x = y$	$x'(v) = y(v)$
$x = y \rightarrow \text{next}$	$x'(v) = \exists w: y(w) \wedge n(w, v)$
$x \rightarrow \text{next} = \text{NULL}$	$n'(v, w) = \neg x(v) \wedge n(v, w)$ $is'(v) = is(v) \wedge$ $\exists v1, v2: n(v1, v) \wedge n(v2, v) \wedge$ $\neg x(v1) \wedge \neg x(v2) \wedge \neg \text{eq}(v1, v2)$

# Reachability predicate

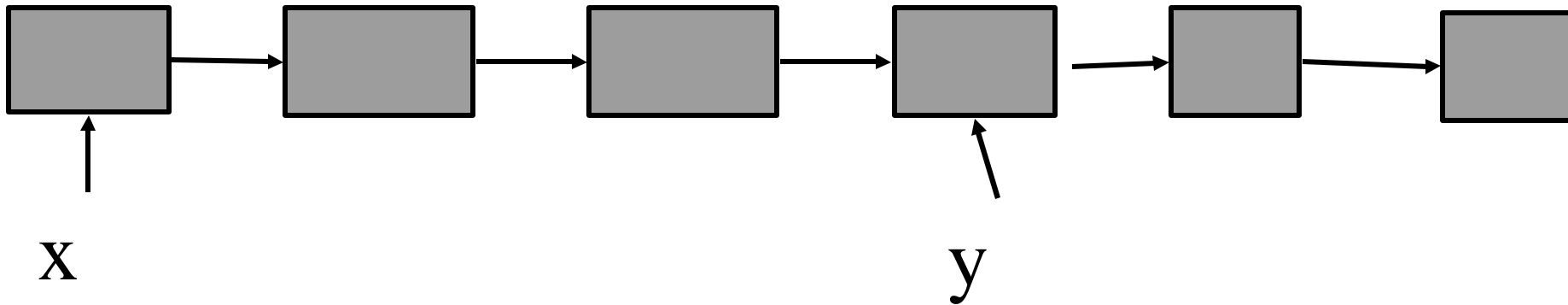
$$t[n](v1, v2) = n^*(v1, v2)$$





# Reachability from a variable

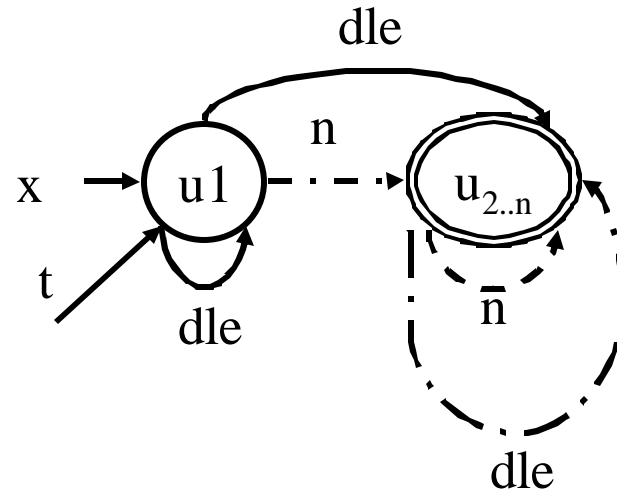
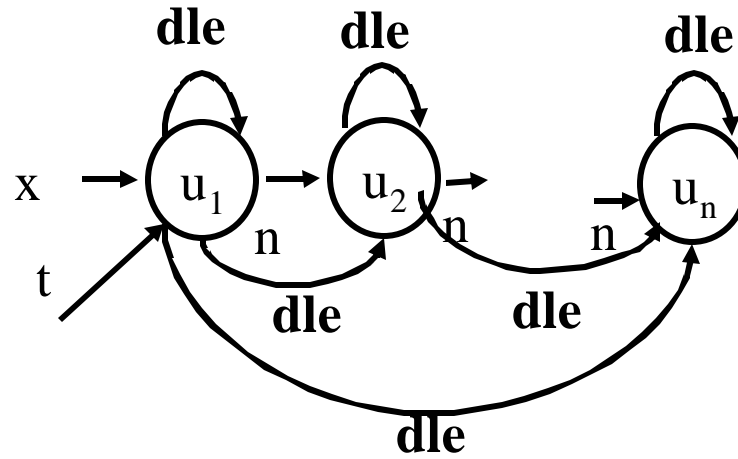
- $r[n,x](v) = \exists w: x(w) \wedge n^*(w, v)$



# Proving Correctness of Sorting Implementations (Lev-Ami, Reps, S, Wilhelm ISSTA 2000)

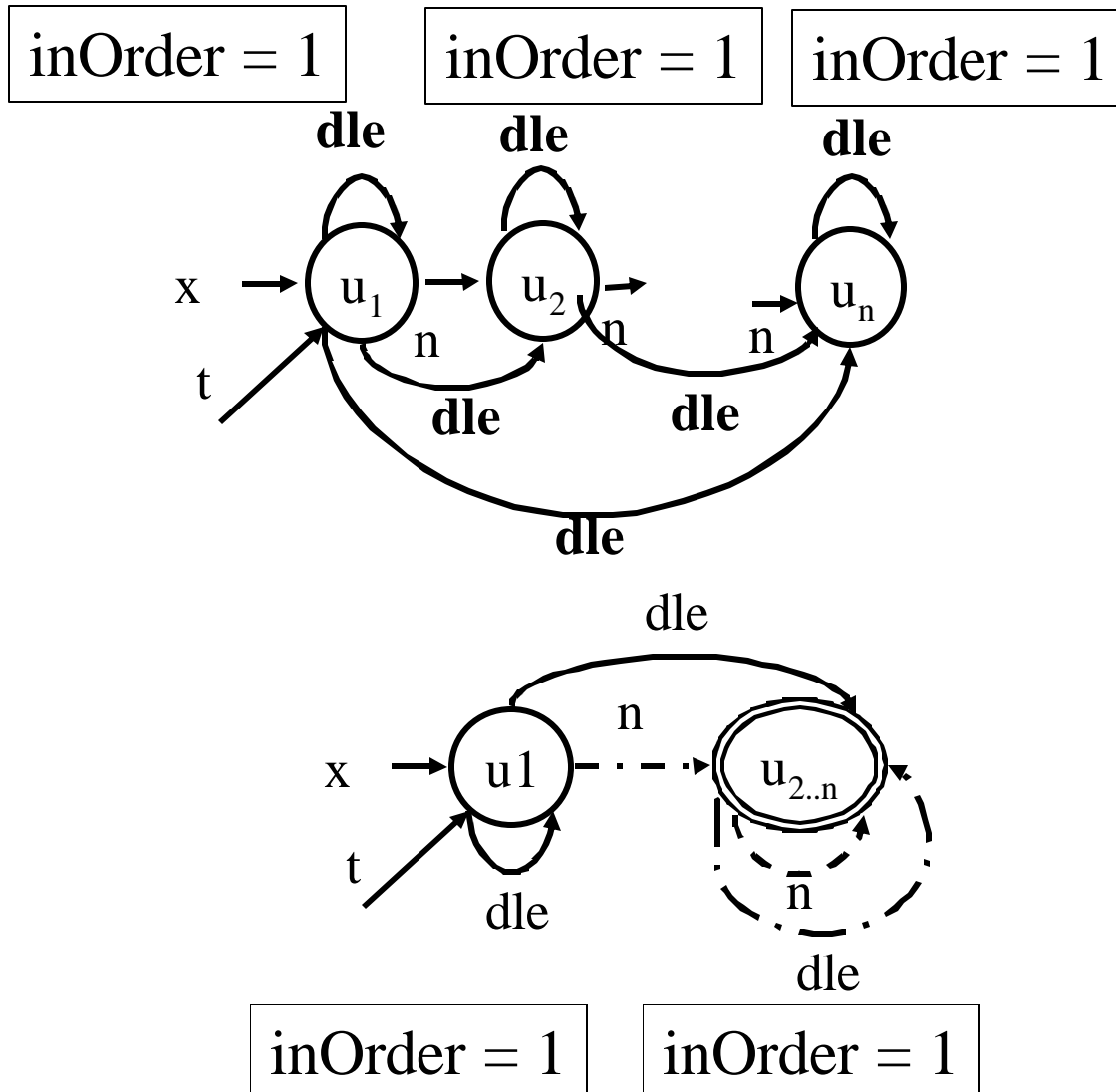
- Partial correctness
  - The elements are sorted
  - The list is a permutation of the original list
- Termination
  - At every loop iterations the set of elements reachable from the head is decreased

# Sortedness

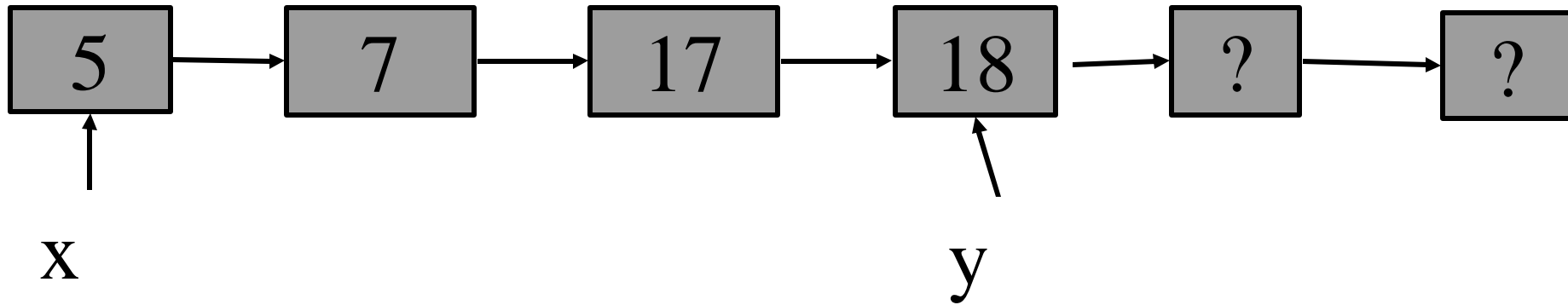


# Example: Sortedness

$$\text{inOrder}(v) = \forall v1: n(v, v1) \rightarrow \text{dle}(v, v1)$$



# Sortedness



# Additional Instrumentation predicates

- $c_{fb}(v) = \forall v_1: f(v, v_1) \rightarrow b(v_1, v)$
- $tree(v)$
- $avl(v)$
- $dag(v)$
- Weakest Precondition  
[Ramalingam PLDI'02]
- Learned via Inductive Logic Programming  
[Loginov, CAV'05]

# Instrumentation (Summary)

- Refines the abstraction

$$\text{is}(v) = \exists v_1, v_2: n(v_1, v) \wedge n(v_2, v) \wedge v_1 \neq v_2$$

- Adds global invariants

$$\text{is}(v) \leftrightarrow \exists v_1, v_2: n(v_1, v) \wedge n(v_2, v) \wedge v_1 \neq v_2$$

$$\gamma(S^\#) = \{ S : S \models \Sigma, S \sqsubseteq^f S^\# \}$$

- But requires update-formulas (generated automatically in TVLA2)

# Summary

- Canonical abstraction is powerful
  - Intuitive
  - Adapts to the property of interest
- Used to verify interesting program properties
  - Very few false alarms
- But scaling is an issue